

Application of NIR transmission spectroscopy with effective wavelength selection in non-destructive determination of essential amino acid content of foxtail millet

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RESEARCH ARTICLE

Abstract

The near infrared (NIR) spectroscopy models developed for rapid and accurate determination of nine essential amino acids and protein in foxtail millet were investigated. The effects of the status of the samples (intact or ground), amino acid/protein correlation and variable selection methods on the predictive ability of the models were analysed. According to results, although the average spectral patterns of the intact and ground samples were similar, the absolute values of peaks and valleys for the intact samples were slightly higher. The modelling results of intact samples were similar to those obtained with ground samples. In the results of the partial least squares models with full spectra, 81-94% of the amino acid variance could be explained, except for lysine, tryptophan and methionine with the coefficients of determination of cross-validation less than 0.70. The correlation between various amino acids and protein might affect the predictive ability of NIR spectroscopy for essential amino acids. After variable selection, the models for isoleucine, leucine, phenylalanine, threonine, tryptophan and valine were remarkably improved by the successive projections algorithm method. The results indicated that short-wave NIR spectroscopy coupled with variable selection is a promising approach for determination of essential amino acids in foxtail millet.

Keywords: near infrared transmission spectroscopy, successive projections algorithm, interval partial least squares, jack-knife algorithm

1. Introduction

Foxtail millet (*Setaria italica* Beauv.), also known as Italian millet, originates from China. As an important crop species, it has a high yield and can adapt better to arid and barren lands than other crops (Li and Wu, 1996). The mean protein content of foxtail millet varieties in China is $11.73 \pm 1.40\%$, which is similar to maize, rice and some other grains (Gu and Liu, 1989). Nowadays, foxtail millet is not only a main source of energy and protein for millions of the low-income population in Asia and Africa, but also an alternative protein source for people who are sensitive to the common protein sources, such as soybean, cow's milk, egg and peanuts (Kasaoka *et al.*, 1999).

Amino acid contents and composition play important roles in the nutritional and functional values of foxtail

millet. Foxtail millet contains nine essential amino acids including histidine (His), which is an essential amino acid for infants. In addition, foxtail millet is rich in branched-chain amino acids (valine (Val), leucine (Leu) and isoleucine (Ile); BCAAs), which helps to promote protein synthesis and inhibit protein breakdown (Matsumoto *et al.*, 2009). A 48-month study demonstrated that the combination treatment with BCAAs and angiotensin-I-converting enzyme-inhibitory activity markedly improved the progression of liver fibrosis (Yoshiji *et al.*, 2012). All these mentioned above indicate that the study of the essential amino acids in foxtail millet is of great value.

However, wet chemical analysis of protein and amino acids is not only quite complicated and labour intensive, but also destructive and time-consuming. The near infrared (NIR) spectroscopy analysis can overcome these shortcomings

in the traditional methods. It is applied on the basis of the absorption of molecular overtone and combination vibrations of hydrogenous groups X-H (X=C, N, O ...) (800-2,500 nm). Consequently, the effect of the functional group is the most dominant in the NIR spectra (Zou *et al.*, 2010). Combined with the chemometrics methods, the models for various compositions and properties are developed. Currently, NIR spectroscopy is applied widely, and the standards of NIR detection have been established for some nutritional components of cereals including moisture (ICC Recommendation no. 202 (ICC, 1986)), protein (ICC Standard No. 159 (ICC, 1995), AACC Method 39-25 (AACCI, 2011a)), fat (GB/T24902-2010), ash (AACC Method 08-21 (AACCI, 2011b)), starch, crude fibre and amino acids (GB/T 18868-2002).¹ Although the applications of NIR spectroscopy on rice, rapeseed, wheat, maize, soybeans and barley (Chen *et al.*, 2011; Fontaine *et al.*, 2002; Wu *et al.*, 2002; Zhou *et al.*, 2012) are abundant, only few studies of NIR spectroscopy on foxtail millet were found.

We have determined the protein, carbohydrates and fat contents in foxtail millet by NIR spectroscopy successfully (Chen *et al.*, 2013). In this study, we attempted to expand our work to the rapid and precise, non-destructive and economical determination of essential amino acid contents in foxtail millet. Although a recent study on this topic was published (Yang *et al.*, 2013), the samples were ground and dried before scanned, which was destructive and time-consuming and might affect the moisture and amino acid contents. Furthermore, a multi-purpose analyser Fourier transform near infrared reflectance (FT-NIR) spectrometer was adopted to obtain the spectra in that study. Among the nine essential amino acids, methionine (Met) and tryptophan (Trp) were not analysed. The ratio of standard error of prediction to standard deviation (RPD) of lysine (Lys) and Val were unusable for sample screening (RPD=1.61 and 1.86, respectively), and the RPD of threonine (Thr) and His were unusable for most applications (RPD=2.4 and 2.06, respectively). Therefore, these models for essential amino acids need to be improved. On the one hand, according to Kovalenko *et al.* (2006), the NIR analyser with short-wave range of 850-1,048 nm demonstrated a significant advantage. The short-wave infrared spectra (800-1,100 nm) are the vital region to feed and grain analysis. Although the Fourier technique has much better SNR (signal-to-noise ratio) than the grating technique in the mid-infrared application, the grating technique is better than the Fourier technique in the NIR domain. This is because the moving mirror of Fourier technique will produce the mechanical frame error, and as shorter wavelengths (800-1,100 nm)

were adopted to build the prediction models, the mechanical frame error has a greater impact on SNR. On the other hand, the models for amino acids might be improved using the effective wavelengths (EWs) selected by the methods such as the competitive adaptive reweighted sampling, genetic algorithms, and successive projection algorithm (SPA) methods (Liu *et al.*, 2010; Wei *et al.*, 2014). In addition, the FT-NIR instrument of the monochromator mainly uses a Michelson interferometer, which has a complex structure and is very expensive. Instead, the short-wave NIR transmission spectroscopy is more economic for wide use.

Therefore, in this study, we aimed to evaluate the feasibility of using the short-wave NIR transmittance spectra for non-destructive determination of the contents of nine essential amino acids including His, Ile, Leu, Lys, Met, phenylalanine (Phe), Thr, Trp and Val in foxtail millet. The specific objectives of this research were (1) to establish the quantitative models for essential amino acid contents using the partial least squares (PLS) methods with full spectra; (2) to compare the models built with intact and ground samples; (3) to analyse the influence of amino acid/protein correlation on the predictive ability of the models; (4) to establish the models for essential amino acid contents using the EWs selected by SPA, the interval partial least squares (iPLS) and the jack-knife algorithm (JK) methods to compare their predictive abilities with the full spectra models.

2. Materials and methods

Sample preparation

In total, 195 varieties of foxtail millet from 16 provinces (Beijing, Gansu, Guangxi, Hainan, Hebei, Henan, Inner Mongolia, Jiangsu, Jilin, Liaoning, Ningxia, Qinghai, Shandong, Shanxi, Shaanxi and Sichuan) of China were used in this study provided by the Institute of Crop Science, Chinese Academy of Agricultural Sciences (Beijing, China P.R.). The foxtail millet samples were decorticated by a rice huller (SY88-TH, Ssangyong Ltd, Incheon, Korea 3 times and polished twice (15 s for each time) by a rice polisher (SY2001-NSART100, Ssangyong Ltd.). After decortication and polishing, the samples were stored at 4 °C in sealed bags until analysis.

Near infrared spectra acquisition

Before spectra acquisition, the samples were kept at room temperature (20±2 °C) for 24 h to balance the moisture and the temperature. The samples were scanned in transmission mode in the NIR region of 850-1,048 nm with a 2 nm increment, using a NIR spectrometer (Infraneo Junior, Chopin, France). First, the intact foxtail millet samples were scanned, and then ground into whole foxtail millet flour by a grinder (BRC20K Mixer Grinder, Chopin, France). The

¹ GB/T24902-2010 and GB/T 18868-2002 are Chinese standard methods and can be found at: <http://down.foodmate.net/standard/sort/3/22627.html> and <http://down.foodmate.net/standard/sort/3/16278.html>, respectively (in Chinese).

process of grinding lasted 10 s and then paused 10 s in a total of 3 times in order to prevent overheating and moisture loss. After grinding, the samples were also scanned. Each sample was scanned in duplicate. The average spectrum of each sample was used in subsequent data analysis.

Reference methods

After spectra acquisition, the contents of moisture (dry matter), protein and nine essential amino acids including His, Ile, Leu, Lys, Met, Phe, Thr, Trp and Val were determined by reference methods. The compositions were measured using official methods of the American Association of Analytical Chemists (AOAC, 2016): moisture (AOAC-930.15, 1990), protein (AOAC-979.09, 1990), eight amino acids (AOAC-994.12, 2000) and Trp (AOAC 988.15, 1990). All the analyses were carried out in duplicate and the results were averaged and calculated on a dry basis.

Spectra pre-treatment and outlier elimination

In this study, multiplicative scatter correction (MSC) and Savitzky-Golay second derivative with a 2nd-order polynomial and a 9-point window were applied (Rinnan *et al.*, 2009). The MSC method was used for scatter correction to reduce the physical variability of particle size during data collection (Isaksson and Næs, 1988). And Savitzky-Golay derivative was performed to adjust baseline shift, combined with a smoothing step. These spectra pre-treatment algorithms were performed in the Unscrambler software (version 9.7, Camo Software AS, Oslo, Norway).

Before sample selection, the PLS regression (leave-one-out cross-NIR validation) was first carried out on full spectra to identify outliers, through the leverage and the studentised residuals. On the one hand, the leverage could represent the influence of every sample on the model, and the outliers were detected with a threshold equal to 3 times the average leverage, where the average leverage was calculated as: $H=(1+LVs)/n$ (n is the number of samples and LVs is the number of principal components) (Cao, 2013; Faber, 1999). On the other hand, samples with the student residual ≥ 2.5 were considered as chemical outliers with a confidence level of 95% (De Oliveira *et al.*, 2014).

Sample subsets partitioning

In this study, representative samples were obtained by sample partitioning. Accordingly, similar calibration samples and redundant calculations might be avoided and the models would be more robust. Samples were divided into calibration and validation subsets at the ratio of 3:1 for multivariate modelling using the joint x-y distance (SPXY) algorithm. The models were constructed by full cross-validation. According to the differences in both X (spectra) and Y (reference values) domains, a stepwise procedure

to select samples was adopted. The SPXY technique is an advantageous alternative to Kennard-Stone and random sampling methods (Galvão *et al.*, 2005).

Variable selection

The multivariate calibration with three variable selection methods was evaluated: (1) the SPA method with the multiple linear regression (MLR) models was implemented; (2) the iPLS algorithm with the PLS regression was performed; (3) the JK method with the PLS regression was applied. The SPA is a forward selection method, and it can solve the collinearity problem by selecting the wavelengths whose information content is minimally redundant (Araújo *et al.*, 2001). The iPLS method divides the spectra data into several intervals and selects a subset of variables. It compares all the local models with the full spectra models on the basis of the lowest root mean square error of cross-validation (RMSECV), and the iPLS method could give superior performance (Nørgaard *et al.*, 2000). Through jack-knife estimation of the uncertainty of the model parameters, variables with significant regression coefficients are obtained. As a result, the useless or unreliable X- or Y-variables can be eliminated automatically, in order to simplify the final model and making it more reliable (Anderssen *et al.*, 2006). The variable selection procedure was performed in the Matlab environment (version R2013b, the Mathworks, Natick, MA, USA) with the SPA routine (revision 1.0) and PLS Toolbox (version 7.5.2, Eigenvector Research, Inc., Manson, WA, USA) and in the Unscrambler (version 9.7, CAMO Software AS), respectively.

Multivariate calibration and validation

The PLS and the MLR regressions were used to develop all the prediction models. The PLS algorithm compresses the original matrix into principal components (PCs), which maximises the covariance between the reference values and the spectra. The PLS models were established using full cross-validation. The MLR is a common algorithm for calibration with the advantages of being simple and easily interpreted, and the influence of collinearity on the MLR models can be eliminated effectively by the SPA method (Huang *et al.*, 2011). After the cross-validation, the external validation (R^2_{val}) was also carried out. Both methods were applied to avoid overfitting and to ensure the predictive ability of the calibration model. The models were built with PLS Toolbox.

Statistical analysis

The statistical analysis of chemical values for calibration and validation sets was carried out in SPSS (Chicago, IL, USA). The coefficients of determination of cross-validation (R^2_{cv}) and R^2_{val} are used to determine the amount of variation in the data which is modelled by the calibration equation

(Burns and Ciurczak, 2007). The RMSECV and root mean square error of prediction (RMSEP) are the most efficient and simplest indicators of the uncertainty of future predictions. Besides, the RPD can be used to evaluate the precision of the models (Williams and Sobering, 1996) and the bias is a good similarity index between validation samples and the calibration set which determines the accuracy.

3. Results and discussion

Variability of composition in foxtail millets

After some outliers were eliminated for each constituent according to the studentised residuals and the leverage with a 95% confidence limit, samples were divided into calibration and validation sets using the SPXY algorithm. The descriptive statistics for different datasets are presented in Tables 1 and 2. According to the coefficient of variation (CV), the results reflected a broad range of variability in each chemical content, especially in the calibration sets. Besides, the means, ranges and standard deviations between the calibration and validation sets were close and the validation sets were within the limits of the calibration sets. These observations suggested that the calibration and validation sets were selected properly and both sets can represent the variability in the foxtail millet samples (Zhang *et al.*, 2011).

Through the PCs plot, one can find out the relationships between different variables, and interpret sample groupings, similarities or differences. The first factors explain more variation of the data than other components. The closer

the samples are in the score plot, the more similar they are with respect to the two components concerned (Jolliffe, 2002). According to the PC1-PC2 score plot from the raw spectra and the pre-processed spectra (Figure 1), the first factor explained 61.39 and 46.52% of X-variation, while the second factor explained additional 21.32 and 28.70% of X-variation. Besides, no obvious clustering tendency was found, although it was more compact between ground samples. Consequently, all foxtail millet samples could be used to build a unified regression model (Zhang *et al.*, 2004).

Spectra overview

The average raw and pre-processed spectra for intact and ground foxtail millet are shown in Figure 2A and 2B, respectively. The average spectral patterns of the intact samples resembled those shown in the ground samples. However, in both raw and pre-processed spectra, the absolute values of peaks and valleys for intact samples at 914, 950 and 992 nm were slightly higher than those for ground samples. Similar observation was reported by Guy *et al.* (2011). The MSC and second-order derivative pre-processed spectra in Figure 2B showed that the overlapping peaks and baseline effects were removed. The main absorption features such as peaks and valleys were sharper. Similar patterns were found in the average standard normal variate second derivative spectra of wheat as well (Pojic *et al.*, 2012). The largest spectra variations were observed in the region around 914 nm assigned to the third overtone of C-H stretching for methyl (Lu *et al.*, 2000), around 950 nm corresponding to O-H 2nd overtone for water, and around 994 nm corresponding to N-H 2nd overtone for peptides and proteins (Pojic *et al.*, 2012).

Table 1. Laboratory reference value statistics of intact foxtail millet for the calibration set and the validation set.¹

Comp ²	Calibration set						Validation set					
	n	mean	SD	min	max	CV	n	mean	SD	min	max	CV
His	143	0.27	0.03	0.20	0.36	11.68	47	0.26	0.02	0.21	0.31	8.53
Ile	145	0.48	0.06	0.34	0.65	12.95	48	0.46	0.05	0.37	0.61	11.36
Leu	143	1.79	0.27	1.14	2.53	15.05	48	1.72	0.26	1.22	2.28	14.84
Lys	145	0.25	0.02	0.20	0.30	8.30	47	0.24	0.02	0.22	0.28	6.23
Met	145	0.39	0.05	0.28	0.49	11.84	48	0.38	0.03	0.31	0.44	9.05
Phe	142	0.71	0.09	0.51	0.97	12.70	47	0.70	0.08	0.55	0.86	11.29
Thr	141	0.48	0.06	0.34	0.63	11.93	47	0.47	0.05	0.37	0.56	10.85
Trp	142	0.20	0.03	0.13	0.28	14.16	47	0.19	0.03	0.13	0.26	13.85
Val	144	0.62	0.08	0.43	0.84	12.85	48	0.61	0.07	0.49	0.79	12.25
Prot	143	12.19	1.46	8.90	15.84	12.01	47	12.16	1.38	8.98	15.01	11.36

¹ SD = standard deviation (%); CV = coefficient of variation (%).

² The composition (%) modelled includes histidine (His), isoleucine (Ile), leucine (Leu), lysine (Lys), methionine (Met), phenylalanine (Phe), threonine (Thr), tryptophan (Trp) and valine (Val) and protein (Prot).

Table 2. Laboratory reference value statistics of ground foxtail millet for the calibration set and the validation set.^{1,2}

Comp	Calibration set						Validation set					
	n	mean	SD	min	max	CV	n	mean	SD	min	max	CV
His	145	0.27	0.03	0.20	0.36	11.85	48	0.26	0.03	0.21	0.31	10.34
Ile	146	0.48	0.06	0.34	0.65	12.89	48	0.46	0.05	0.36	0.58	11.40
Leu	145	1.79	0.28	1.14	2.53	15.39	48	1.72	0.23	1.22	2.14	13.57
Lys	145	0.25	0.02	0.20	0.30	8.49	48	0.24	0.02	0.22	0.28	6.91
Met	143	0.39	0.05	0.29	0.49	11.52	48	0.38	0.04	0.31	0.44	9.27
Phe	143	0.72	0.09	0.52	0.97	12.25	48	0.69	0.09	0.51	0.87	12.40
Thr	141	0.49	0.06	0.34	0.63	11.84	47	0.46	0.05	0.36	0.56	10.36
Trp	143	0.20	0.03	0.13	0.26	14.07	47	0.20	0.02	0.14	0.23	10.93
Val	146	0.63	0.08	0.43	0.84	12.58	49	0.59	0.07	0.44	0.73	12.55
Prot	145	12.31	1.46	8.90	15.84	11.84	48	11.86	1.37	8.98	14.37	11.58

¹ SD = standard deviation (%); CV = coefficient of variation (%).

² The composition (%) modelled includes histidine (His), isoleucine (Ile), leucine (Leu), lysine (Lys), methionine (Met), phenylalanine (Phe), threonine (Thr), tryptophan (Trp) and valine (Val) and protein (Prot).

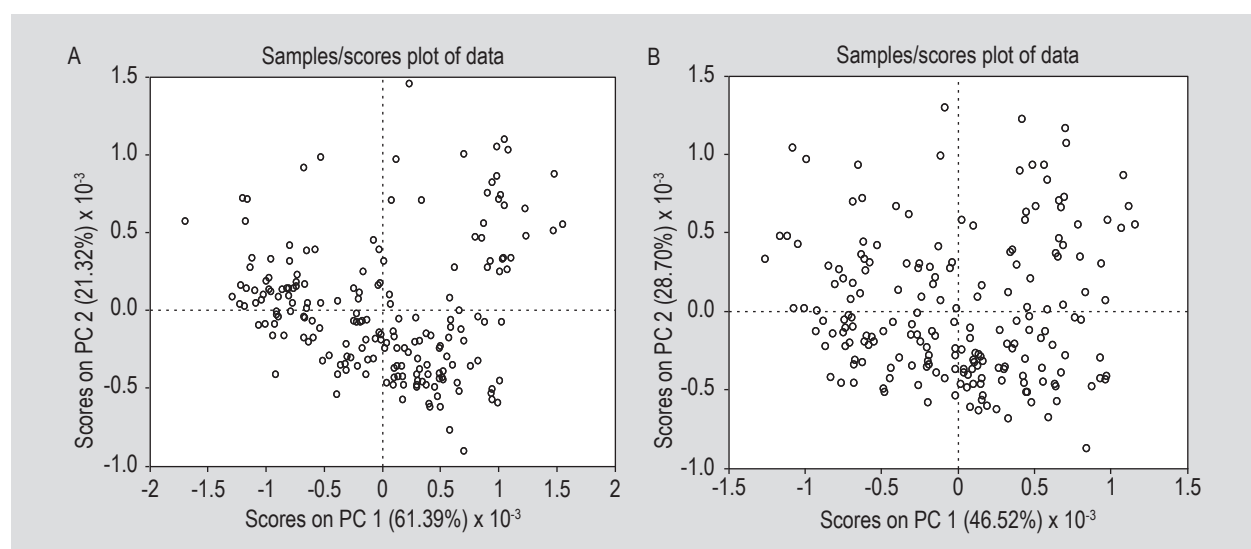


Figure 1. Score plots of the first two principal components (PC1 and PC2) of (A) intact and (B) ground foxtail millet samples.

Models using full spectra

The prediction results of essential amino acids and protein contents in intact and ground samples using full spectra are illustrated in Tables 3 and 4. In the results of full PLS (the PLS method with full spectra), the ranges of R^2_{cv} and R^2_{val} values with full spectra were 0.15-0.94 and 0.11-0.95 for intact samples; for ground samples the ranges were 0.12-0.95 and 0.16-0.94. The R^2_{cv} and R^2_{val} for Lys, Trp and Met were less than 0.70, and the R^2_{cv} for the models of other amino acids (His, Ile, Leu, Phe, Thr, Val) and protein were more than 0.80. It indicated that more than 80% of the variance in these amino acids concentration could be explained by the spectra variables. The prediction models obtained

with intact samples were similar to those obtained with ground samples. However, Wu *et al.* (2002) and Fontaine *et al.* (2001) reported that the calibration models based on ground rice and soybean samples were more accurate than those based on intact samples. Following reasons may contribute to the results mentioned above. Firstly, the grain size of foxtail millet is relatively small; secondly, the protein and amino acids uniformly distribute in foxtail millet; lastly, the mass of the samples varies in different studies (Pazdernik *et al.*, 1997). According to Zhang *et al.* (2011), the models with large samples (3 g) outperformed those with small samples (500 mg), which might increase the diversity of the surface area. In this research, large mass of the intact samples (40-45 g) improved the models. Above

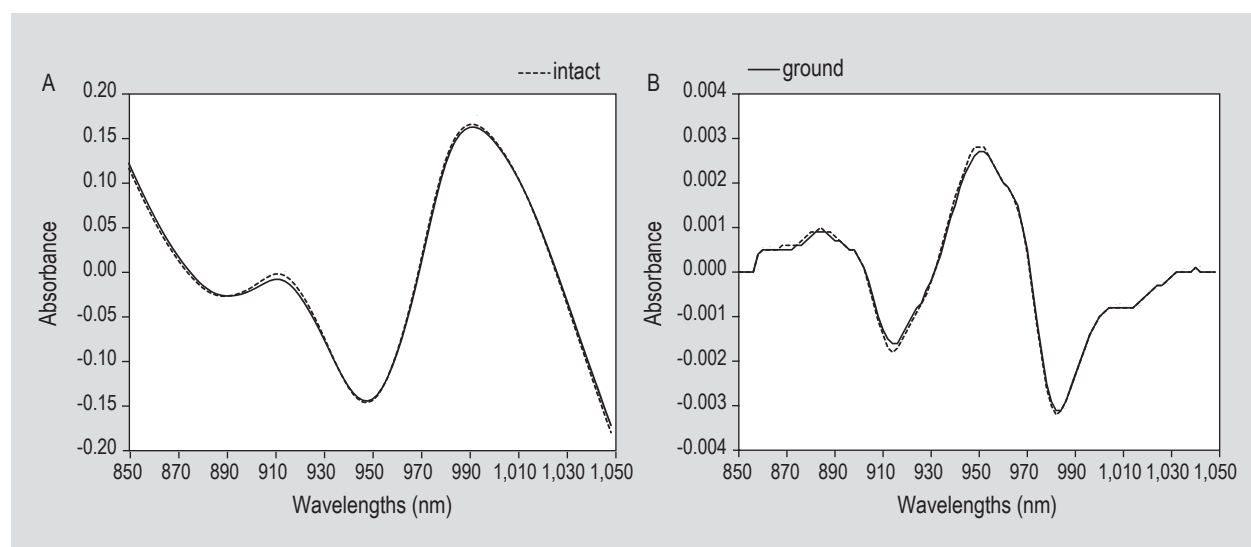


Figure 2. (A) Average raw spectra, and (B) average pre-processed spectra for intact and ground foxtail millet samples.

Table 3. Statistics of the models for essential amino acids and protein contents prediction in intact foxtail millet using full spectra.

Comp ¹	PCs ²	Calibration ³		Validation ⁴				Correlation coefficients ⁵	
		R ² _{cv}	RMSECV	R ² _{val}	RMSEP	Bias	RPD	r _{spc}	r _{prot}
His	4	0.88	0.011	0.83	0.009	0.000	2.4	0.94	0.94
Ile	5	0.84	0.025	0.87	0.019	-0.001	2.8	0.91	0.92
Leu	4	0.94	0.067	0.95	0.056	0.002	4.6	0.97	0.97
Lys	6	0.15	0.019	0.11	0.015	0.004	1.0	0.36	0.22
Met	2	0.68	0.026	0.7	0.019	0.001	1.8	0.82	0.84
Phe	4	0.91	0.027	0.91	0.024	0.002	3.3	0.95	0.96
Thr	3	0.89	0.019	0.90	0.016	0.000	3.2	0.94	0.95
Trp	2	0.44	0.021	0.68	0.016	-0.002	1.7	0.69	0.72
Val	5	0.81	0.035	0.81	0.032	-0.005	2.3	0.90	0.91
Prot	5	0.97	0.254	0.96	0.279	-0.026	5.0	0.98	–

¹ The composition modelled include histidine (His), isoleucine (Ile), leucine (Leu), lysine (Lys), methionine (Met), phenylalanine (Phe), threonine (Thr), tryptophan (Trp) and valine (Val) and protein (Prot).

² PCs = number of principal components.

³ The calibration set includes two parameters: R²_{cv}, the coefficients of determination of cross-validation; RMSECV, the root of mean square error of cross-validation.

⁴ The validation set includes four parameters: R²_{val}, the coefficients of determination of external validation; RMSEP, the root of mean square error of prediction; RPD, the ratio of standard error of prediction to standard deviation.

⁵ Correlation coefficients: the r_{spc} means the correlation coefficients of the cross-validation model using the full spectra; the r_{prot} means the correlation coefficients between the protein contents and each variety of amino acids.

all, satisfactory results could be obtained for determination of essential amino acids and protein contents of the intact foxtail millet, so that the pre-treatment was simplified and the water loss was minimised.

Considering the results of both intact and ground foxtail millet samples were consistent, it is unnecessary to discuss the results for intact and ground samples separately. On the

basis of guidelines for interpretation of RPD outlined by Williams and Sobering (1996), the models of Val, His and Ile (RPD=2.3, 2.4 and 2.8) were usable for sample screening; the models of Leu, Phe, Thr and protein (RPD=4.6, 3.3, 3.2 and 5.0) were usable with caution for most applications. Compared with another research about prediction of amino acids in foxtail millet (Yang *et al.*, 2013), the models for Leu, Thr, Phe and Val were improved in our study, with the

Table 4. Statistics of the models for essential amino acids and protein contents prediction in ground foxtail millet using full spectra.

Comp ¹	PCs ²	Calibration ³		Validation ⁴			
		R ² _{cv}	RMSECV	R ² _{val}	RMSEP	Bias	RPD
His	4	0.86	0.012	0.88	0.009	0.000	3.0
Ile	5	0.83	0.026	0.84	0.021	0.002	2.5
Leu	6	0.95	0.059	0.94	0.060	-0.001	3.9
Lys	3	0.12	0.020	0.19	0.016	0.006	1.0
Met	2	0.73	0.023	0.70	0.020	0.002	1.8
Phe	4	0.89	0.029	0.92	0.025	-0.006	3.4
Thr	5	0.93	0.016	0.91	0.015	-0.004	3.2
Trp	3	0.47	0.020	0.65	0.013	-0.003	1.6
Val	5	0.76	0.039	0.83	0.031	-0.003	2.4
Prot	6	0.97	0.254	0.96	0.273	0.014	5.0

¹ The composition modelled include histidine (His), isoleucine (Ile), leucine (Leu), lysine (Lys), methionine (Met), phenylalanine (Phe), threonine (Thr), tryptophan (Trp) and valine (Val) and protein (Prot).

² PCs = number of principal components.

³ The calibration set includes two parameters: R²_{cv}, the coefficients of determination of cross-validation; RMSECV, the root of mean square error of cross-validation.

⁴ The validation set includes four parameters: R²_{val}, the coefficients of determination of external validation; RMSEP, the root of mean square error of prediction; RPD, the ratio of standard error of prediction to standard deviation.

RPD value rising by 73.7, 54.4, 15.5 and 24.7%, respectively. Although the RPD of Lys (RPD=1.6) was higher than the value (RPD=1.0) in our study, it was still unusable for sample screening. The RPD of His and Ile were similar to the results in this study. However, the RMSEP of His was twice as large as it in this study, which means that the future prediction of the model in our study is more accurate. Another literature on the amino acid contents in soybeans reported a better result of Lys (RPD=2.89) and inferior results of Ile, Thr and Phe (RPD=2.32, 2.01 and 2.82), which obtained the models by the PLS algorithm with the spectra range of 850-1,048 nm.

We attempted to explain the variation of predictive ability in various amino acids models, through correlating the RPD to the concentrations and the CVs of these amino acids. And there were no significant relationships. However, when the correlation coefficients between the NIR spectra and amino acid contents (r_{spc}) were regressed against the correlation coefficients between protein and amino acid contents (r_{prot}) (Figure 3), the variation in the predictive ability of NIR spectroscopy for essential amino acids was determined by how a certain amino acid was correlated to protein. This implied that NIR spectroscopy predicted amino acid contents in foxtail millet indirectly by deriving it from the total nitrogen contents. If the correlation was poor, as in the cases of Lys and Trp, the prediction by NIR spectroscopy would be correspondingly inaccurate. A similar observation for soybean meal and full-fat soy was made by Kovalenko

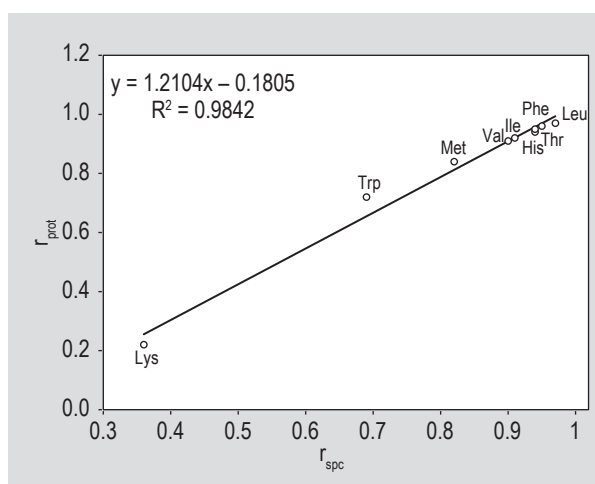


Figure 3. Correlation coefficients between near infrared spectra and amino acids contents (r_{spc}) versus correlation coefficients between protein and amino acids contents (r_{prot}).

et al. (2006) and Fontaine *et al.* (2001). In their studies, the correlation between Lys and protein in soybean was far closer than that in foxtail millet. The r between Leu and protein in peanuts ($r=0.89$) (Wang *et al.*, 2012) was lower than that in foxtail millet ($r=0.97$). Therefore, for prediction of amino acids using NIR spectroscopy in some legumes and cereals, the introduction of calibration samples (possibly different species) with various amino acid profiles might be one way to break the correlation.

Models using selected effective wavelengths

The prediction results of essential amino acids and protein contents using selected wavelengths are illustrated in Table 5. In addition, most of the specific EWs selected by the SPA, iPLS and JK methods for a certain amino acid were

not identical, due to the different mechanism of the three methods. The R_{cv}^2 of the model using full spectra for Lys was 0.15. After variable selection, the R_{cv}^2 wasn't increased for the models built with the selected variables by SPA, iPLS and JK algorithms, respectively. That means no significant improvement of the models for Lys can be observed and

Table 5. Statistics of the models for essential amino acids and protein contents prediction in intact foxtail millet using selected wavelengths.

Comp ¹	Methods ²	n ³	PCs ⁴	Calibration ⁵		Validation ⁶		
				R_{cv}^2	RMSECV	R_{val}^2	RMSEP	RPD
His	SPA	7	–	0.89	0.011	0.84	0.009	2.4
	iPLS	30	4	0.90	0.010	0.84	0.009	2.4
	JK	55	4	0.89	0.010	0.83	0.009	2.4
Ile	SPA	7	–	0.83	0.025	0.88	0.018	2.9
	iPLS	36	3	0.83	0.030	0.85	0.020	2.6
	JK	51	5	0.83	0.025	0.87	0.019	2.8
Leu	SPA	11	–	0.96	0.054	0.97	0.043	5.9
	iPLS	20	3	0.95	0.060	0.96	0.050	5.1
	JK	71	4	0.94	0.065	0.96	0.051	5.0
Lys	SPA	1	–	0.01	0.021	0.01	0.016	1.0
	iPLS	100	6	0.15	0.019	0.11	0.015	1.0
	JK	16	6	0.09	0.020	0.09	0.015	1.0
Met	SPA	9	–	0.75	0.023	0.73	0.019	1.8
	iPLS	20	2	0.72	0.025	0.68	0.020	1.7
	JK	30	2	0.71	0.025	0.70	0.020	1.7
Phe	SPA	14	–	0.92	0.025	0.93	0.021	3.8
	iPLS	42	4	0.93	0.025	0.93	0.021	3.8
	JK	69	3	0.91	0.027	0.91	0.024	3.3
Thr	SPA	9	–	0.91	0.017	0.92	0.014	3.6
	iPLS	45	3	0.92	0.016	0.92	0.015	3.4
	JK	77	3	0.91	0.018	0.91	0.016	3.2
Trp	SPA	3	–	0.48	0.020	0.74	0.014	1.9
	iPLS	40	2	0.50	0.020	0.73	0.014	1.9
	JK	26	1	0.48	0.020	0.71	0.015	1.8
Val	SPA	10	–	0.83	0.033	0.85	0.029	2.6
	iPLS	60	3	0.80	0.040	0.83	0.030	2.5
	JK	40	5	0.80	0.036	0.78	0.035	2.1
Prot	SPA	7	–	0.97	0.272	0.98	0.220	6.3
	iPLS	46	3	0.97	0.237	0.96	0.257	5.4
	JK	77	5	0.97	0.236	0.96	0.269	5.1

¹ The composition modelled include histidine (His), isoleucine (Ile), leucine (Leu), lysine (Lys), methionine (Met), phenylalanine (Phe), threonine (Thr), tryptophan (Trp) and valine (Val) and protein (Prot).

² The variable selection includes three methods: SPA = the successive projections algorithm; iPLS = interval partial least squares; JK = the jack-knife algorithm.

³ n = number of effective wavelengths.

⁴ PCs = number of principal components.

⁵ The calibration set includes two parameters, R_{cv}^2 = the coefficients of determination of cross-validation; RMSECV = the root of mean square error of cross-validation.

⁶ The validation set includes three parameters; R_{val}^2 = the coefficients of determination of external validation; RMSEP, the root of mean square error of prediction; RPD = the ratio of standard error of prediction to standard deviation.

these models cannot be used to predict the Lys content accurately. The SPA method improved the models of almost all the essential amino acids, compared with the full PLS models, except for His and Ile. In the iPLS models, the outcomes of Leu, Phe, Thr and Trp were improved in both the cross-validation and the R^2_{val} , compared with the full PLS models. In addition, the effect of the JK method used for variable selection on the models was not significant. In the models for His, Phe, Thr and Trp, the performance of the models with the spectra selected by the SPA and iPLS methods was equally good. In the models for Ile, Leu, Met and Val, the SPA method yielded superior performance, compared to the predictive results of the iPLS models, since the R^2_{cv} and RPD increased and the RMSECV went down. It indicated that the MLR models could be improved based on a small subset of wavelengths selected by the SPA method, minimising the collinearity problem of the MLR. For protein, the RPD and R^2_{val} in the SPA model exceeded them in the iPLS model. The RMSECV was 0.272, which was much higher than it (RMSECV=0.237) in the iPLS model. The model with the EWs selected by the iPLS

method was more precise among the protein models. Wei *et al.* (2014) determined the BCAAs (Ile, Leu, Val) contents in fermented *Cordyceps sinensis* mycelium, the EWs for the three amino acids were mainly concentrated around the wavenumbers of 12,010–10,050, 7,500–6,000 and 5,000–4,000 cm^{-1} selected by competitive adaptive reweighted sampling and genetic algorithms. Although the wavelength range was wider than that in this study of foxtail millet, the performance of the models was inferior to our results.

The EWs selected by the SPA, iPLS and JK methods were compared with the wavelengths with large absolute values in the regression coefficient plots of the models with full spectra (Figure 4). In the full PLS models, the regression coefficients were used to calculate the response values from the X variables, and X variables with large absolute values play an important role in the regression models. Most of the curves for amino acids followed a similar pattern with protein, except for Trp and Met with lower RPD. It confirmed that NIR spectroscopy predicted amino acid contents in foxtail millet indirectly by deriving it from

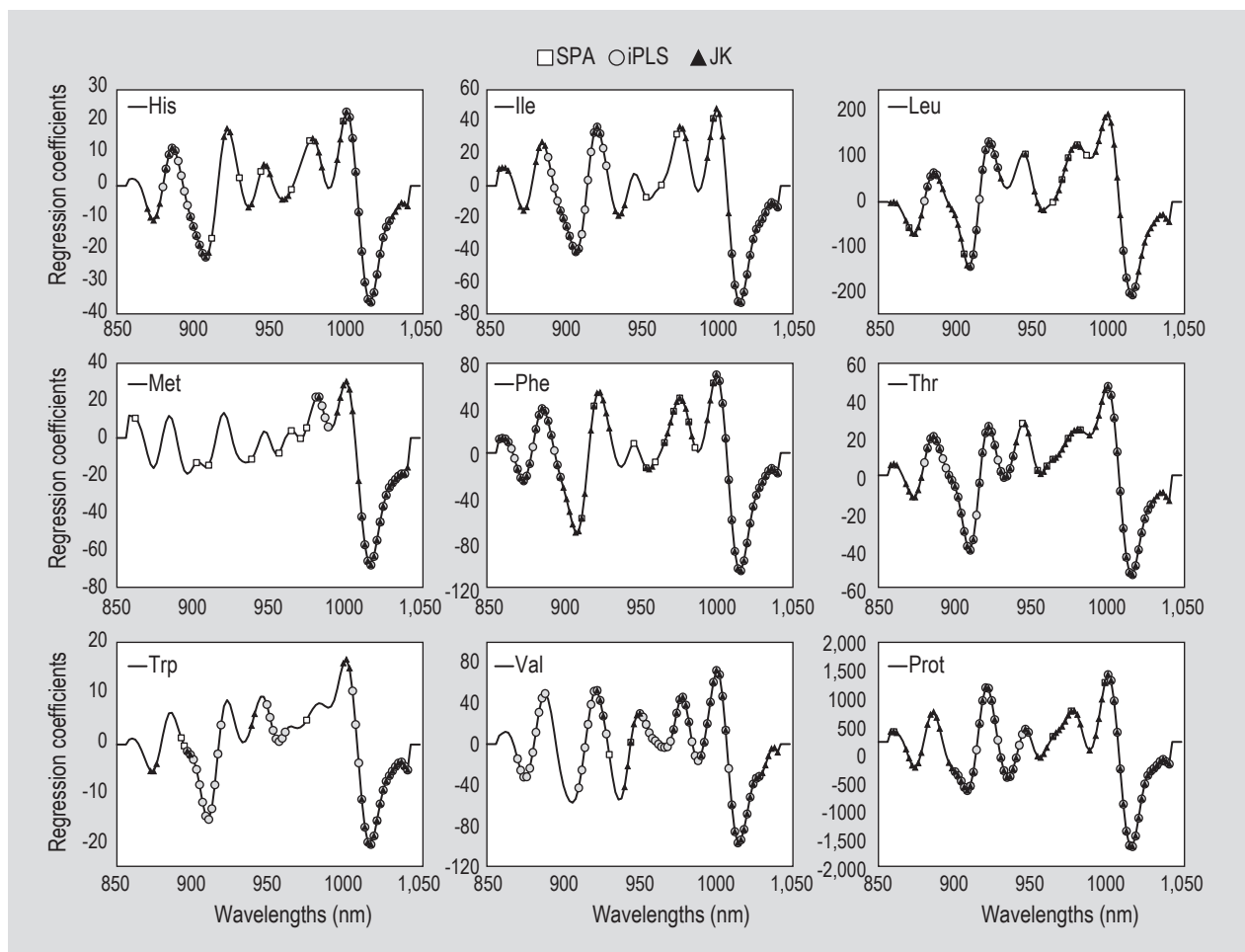


Figure 4. Comparison of effective wavelengths selected by the successive projection algorithm (interval partial least squares), interval partial least squares (the successive projections algorithm), the jack-knife algorithm method and regression coefficients in full partial least squares for eight essential amino acids and protein contents.

the spectral information of the total nitrogen content. Compared to the curve of protein, the valley at 990 nm vanished in Trp and an extra small peak at 965 nm could be observed in Met. Remarkably, the number of selected variables for protein and various amino acids was different. The reason might be that protein and amino acids molecules had specific absorption bands in the spectra regions of NIR. It indicated that variable selection was efficacious and specific for diverse components (Huang *et al.*, 2013).

The EWs selected by the JK method for protein were distributed around each peaks and valleys, and involved 77% wavelengths of the entire spectrum. Moreover, they comprised all the ranges of EWs selected for the essential amino acids. Besides, the range of 990-1,042 nm corresponding to N-H 2nd overtone for proteins was included for all the amino acids. The spectral regions selected by the JK method for Thr and His (except for 860-864 nm), for Leu, Ile and Phe (except for 930-960 nm), and for Met, Trp and Val (except for 850-960 nm) were consistent with the regions for protein prediction. Just like the JK method, the region of 1,002-1,042 nm selected by the iPLS algorithm for all amino acids were also involved, which was assigned to the N-H 2nd overtone and the combination of C-H in methyl and methylene. The range of 912-930 nm assigned to the third overtone of C-H in methyl was selected for Ile, Leu and Val, and the possible reason was that all of them contained two methyl (Lu *et al.*, 2000). The number of EWs was the least in the SPA models, only 3-11% of the wavelengths were included. Besides, the wavelengths for amino acids fell within the regions selected by the iPLS algorithm, except in the model for Met where there was only one wavelength selected in the range of 990-1,048 nm. However, the SPA model for Met was no better than the iPLS and JK models, which indicated that Met had little relationship with the band of N-H and with the spectra in the range of 850-1,048 nm.

5. Conclusions

NIR spectroscopy was successfully utilised for non-destructive determination of essential amino acids and protein contents in foxtail millet, except for Lys, Trp and Met. The correlation coefficients between various amino acids and protein contents were different and the variation of the correlation coefficients affects the predictive ability of the models for these amino acids. In addition, compared with the models built with the full spectra and with the EWs selected by the JK and iPLS methods, the models built with SPA algorithm were simpler and had better predictive ability, because less subsets of spectra regions were used and the RPD values were higher. In summary, NIR spectroscopy combined with the SPA methods could effectively promote the prediction results for amino acids in foxtail millet.

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